

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Ittai Korin  
Serial No.: 09/866,965  
For: METHOD AND SYSTEM FOR ANALYZING PERFORMANCE OF AN INVESTMENT PORTFOLIO TOGETHER WITH ASSOCIATED RISK  
Filed: May 29, 2001  
Examiner: Not Yet Assigned  
Art Unit: 2164  
Confirmation No.: 7385

Attorney Docket No.: 160.7067USU

**ASSISTANT COMMISSIONER FOR PATENTS**  
**Washington, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF NOTICE TO FILE CORRECTED APPLICATION PAPERS**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☐ Declaration and Power of Attorney form executed by \_\_\_\_\_;
- ☐ The undersigned attorney verifies that the applicant is entitled to a Small Entity Status;
- ☒ 22 Sheets of Formal Drawings;
- ☐ An Assignment of the Invention to : \_\_\_\_\_, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ A Certified Copy of the Priority Application;
- ☐ Information Disclosure Statement (Form - 1449); and
- ☒ A copy of the Notice to File Corrected Application Papers.

\_\_\_\_ Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.


CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$710.00
Total Claims	51 - 20 =	31	x \$18.00	\$558.00
Independent Claims	3- 3 =	0	x \$80.00	\$0
Multiple Dependent Claim Fee		x \$270.00 = \$0.00		
TOTAL FILING FEE		SPAID		

1/2 FILING FEE FOR SMALL ENTITY	\$
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\_\_\_\_ A check in the amount of \$\_\_\_\_\_ to cover the surcharge is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

August 15, 2001  
Date

  
\_\_\_\_\_  
Paul D. Greeley, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Attorney for Applicant(s)  
Registration No. 31,019  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON AUGUST 15, 2001.

Joanne A. Romaniello  
NAME.

  
\_\_\_\_\_  
SIGNATURE

8/15/01  
DATE